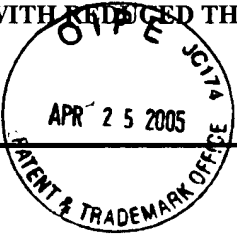


*Handwritten signature*

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. <b>IIZ.003D2C</b>	
In Re Application Of: <b>Takashi Ohsumi</b>					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/689,936	October 22, 2003	F. Toledo		2823	4027
Title: <b>A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS INCLUDING A SEALING MEMBER WITH REDUCED THERMAL STRESS</b>					
<div style="display: flex; justify-content: space-between; align-items: center;"><div style="text-align: left;"></div><div style="text-align: center;"><p>Address to:</p><p><b>Commissioner for Patents</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b></p><p><b>37 CFR 1.97(b)</b></p><p>1.   <input type="checkbox"/>   The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p><p style="text-align: center;"><b>37 CFR 1.97(c)</b></p><p>2.   <input checked="" type="checkbox"/>   The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p><div style="margin-left: 40px;"><p><input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p><p style="text-align: center;"><b>OR</b></p><p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p></div></div></div>					
<p><b>Note: The references listed on Form PTO/SB/08A were cited in copending U.S. Application Serial No. 10/957,620, and thus may be material to the prosecution of the present application.</b></p>					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
IIZ.003D2C

In Re Application: Takashi Ohsumi

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/689,936	October 22, 2003	F. Toledo		2823	4027

Title: A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS INCLUDING A SEALING

MEMBER  
WITH REDUCED THERMAL STRESS

APR 25 2005

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0238 as described below.
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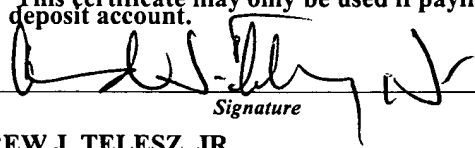
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Dated: April 25, 2005

  
Signature  
ANDREW J. TELESZ, JR.  
REG. NO. 33,581

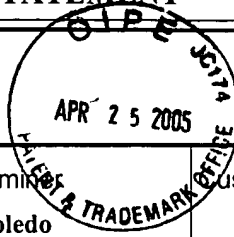
VOLENTINE FRANCO & WHITT, P.L.L.C.  
ONE FREEDOM SQUARE  
11951 FREEDOM DRIVE, SUITE 1260  
RESTON, VA 20190  
TEL. NO. (571) 283-0720

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**IIZ.003D2C**

In Re Application Of: **Takashi Ohsumi**



Application No. <b>10/689,936</b>	Filing Date <b>October 22, 2003</b>	Examiner <b>F. Toledo</b>	Customer No.	Group Art Unit <b>2823</b>	Confirmation No. <b>4027</b>
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Invention: **A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS INCLUDING A SEALING  
MEMBER WITH REDUCED THERMAL STRESS**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Signature

**ANDREW J. TELESZ, JR.**  
**REG. NO. 33,581**

**VOLENTINE FRANCO & WHITT, P.L.L.C.**  
**ONE FREEDOM SQUARE**  
**11951 FREEDOM DRIVE, SUITE 1260**  
**RESTON, VA 20190**  
**TEL. NO. (571) 283-0720**

Dated: **April 25, 2005**

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11Z.003D2C

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